

Job Description Form

Details of the role	
Department	Risk Management
Job title	Head of Client Asset Oversight (PCF-45)
Temporary/Permanent	Permanent
Reporting to	Chief Risk Officer
Accountabilities	
Duties	<p>The Head of Client Asset Oversight as a standalone role within Goodbody is part of the firm's evolution of its three lines of defence. The successful candidate will join the Senior Management Team of the Risk Management function of Goodbody Stockbrokers at a time of significant development.</p> <p>The successful candidate will be responsible for:</p> <ul style="list-style-type: none"> ▪ Monitoring, assessing and enhancing the operating effectiveness of the firm's internal control framework to ensure regulatory compliance. ▪ Developing and maintaining clear processes aligned to specific HCAO responsibilities outlined in the regulations. ▪ Reviewing and approving the daily client asset calculation, funds reconciliations and financial instrument calculations. ▪ Continuously seeking to enhance the safeguarding of client assets processes across the business. ▪ Maintaining a strong understanding of existing regulations, of upcoming developments and best practice. ▪ Evolving and maintaining the firm's Client Asset Management Plan (CAMP). ▪ Providing ongoing challenge to 1st line management in BAU and change activities. ▪ Leading the firm's engagement with external auditors as part of the annual Client Asset Examination. ▪ Providing subject matter expertise on client asset regulations to advise management on new business or products ▪ Reporting to the firm's Executive and Board Risk Committees. ▪ Prepare all required CBI client asset related returns.
Experience required	
Experience required	<ul style="list-style-type: none"> ▪ 8+ years industry experience ▪ Extensive experience at a MiFID investment firm in a regulatory/finance role. ▪ Specific experience in the Client Asset Regulations is an advantage but not essential.

Qualifications required	
Third level	Third level education is not essential but may prove useful when selecting the successful candidate.
Specific Industry Qualifications	No specific requirement. Relevant details to be provided where available.
Person specification	
Characteristics of the type of person this role may suit	<ul style="list-style-type: none"> ▪ The role requires a driven, experienced individual with an understanding of Investment Firms and their regulatory backdrop. ▪ Stakeholder management and people management skills are critical. ▪ Excellent organisational skills and the ability to prioritise workload, multitask and work to tight deadlines. ▪ Excellent communication and interpersonal skills with a confident and professional manner. ▪ The ability to form good working relationships across multi-disciplinary teams.
Timelines	
Please send your Cover Letter and CV to careers@goodbody.ie .	
Please include responses to the following:	
<ul style="list-style-type: none"> ▪ What is your expected salary? ▪ What is your current notice period? ▪ Are you eligible to work in Ireland? ▪ Have you been employed by AIB or any Group Company within the last 2 years ▪ If the answer is Yes, please confirm your date of leaving AIB Group or any Group Company? 	

Goodbody has been a strategic advisor to entrepreneurs, families, private and public companies for over 145 years. We are a full service, investment-led business offering wealth management, asset management and investment banking services. How we work is equally important to us. Deeply proud of our heritage and reputation, quality advice and exceptional client service is at the core of our offering. Thanks to the commitment of all our employees Goodbody was recognised as a Great Place to Work. If this sounds like a company you'd like to work with, we'd love to hear from you.

Goodbody is an equal opportunities employer and is committed to fostering an inclusive workplace which values and benefits from the diversity of our workforce.

Please read the Goodbody Recruitment Privacy Notice. By submitting your personal data to us, you acknowledge that you have read and understood this Privacy Notice and agree to the use of your personal data in line with this. We will only use the information that we collect about you lawfully in accordance with the Data Protection Acts, 1988 and 2003 and the EU General Data Protection Regulation (GDPR).

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